

# RENEWAL OF REGISTRATION PERMIT GUIDELINES FOR UPSTREAM PETROLEUM SERVICE COMPANIES

The following Guidelines present the recommended procedure and assistance on how to fulfill the obligations required in renewing a Registration Permit.

#### COMPLETING THE FORM

Duly filled application forms and related documents should be comb bound and submitted in triplicate. The application should be numbered and arranged in the following order:

- 1. COVER LETTER
- 2. CHECKLIST: This should be the first page of the application package and should serve as the table of content for the application package. Note that the paging of the entire document must correspond with the "page" column of the checklist used. Where forwarded documents appear, they should be indicated therein accordingly.
- 3. APPLICATION FORM
- 4. THE DOCUMENTS:
  - I. Cover Letter Applicant
  - II. Audited Financial Reports & Letter from Auditors indicating upstream petroleum revenue for the past year Applicant
  - III. Valid Tax Clearance Certificate GRA/IRS
  - IV. Valid SSNIT Clearance Certificate SSNIT
  - V. Copy of Expiring/Expired Permit Applicant
- 5. CERTIFICATION BY PROFESSIONAL BODIES In the case where services are rendered by professionals or licensed/certified practitioners, applicants are required to attach certificates of membership of the Company or relevant documents that govern or control such operations in Ghana. E.g. EPA Permit, NPA Licence, Air Operator Certificate, Aviation Licence, FDA Hygiene Certificate.

# PLEASE NOTE THAT APPLICATIONS NOT PROPERLY PUT TOGETHER WILL BE REJECTED AND THIS MAY CAUSE DELAYS.

#### **GENERAL INFORMATION**

- 1. All communications to the Petroleum Commission must be on the applicant company's official letter head and addressed to the CEO of the Commission.
- 2. Application for the registration must be made by the corporate head of the company or an authorized representative.
- 3. All applicants would be restricted to one category. Applicants may then select at most two (2) activities under a particular category in accordance with the Commission's Classification Of Upstream Petroleum Industry Companies list. The classification of activities list is attached herewith.
- 4. Expiration Date of the Permit shall be at the anniversary of issuance.
- 5. An application shall be rendered void if forged or if it is detected that fake documents have been submitted. Sanctions may apply in accordance with the law.
- 6. Tax Clearance and SSNIT clearance certificates submitted with the application must be valid at the time of submission.
- 7. The Commission will send renewal notices, via emails to companies at least two months before their Registration Permits expire. However, the responsibility to renew lies with the applicant/company.
- 8. All companies must submit their renewal application at least one month before expiry of their current Permit. Upon fulfilling the requirements for registration, the Commission will process the application and issue the Permit within three (3) weeks of the date of receipt.
- 9. In accordance with Regulation 9 of the Petroleum Commission (Fees and Charges) Regulations, 2015, L.I. 2221, all companies must submit their renewal application at least one month before expiry of their current Permit.
- 10. Upon fulfilling the requirements for registration, the Commission will process the application and if approved, may issue a Permit within six (6) weeks of receipt.
- 11. Failure to renew a permit in accordance with the law, to wit, one month before the expiration date could result in the expiration of the Permit/Certificate. If this occurs, the company in question that desires to continue operating in the petroleum upstream sector must purchase a new application pack and begin the registration process afresh.

### INSPECTION

The Commission may conduct inspections/assessment of applicant's facilities. Applicants will be subjected to further evaluation and will be assessed for professional competencies

and capabilities. It may be necessary to inspect existing companies/businesses that have applied for renewals from time to time. Applicants must have as a minimum, physical presence in Ghana. Inspections may be without notice and without prejudice to existing Permits.

# **GUIDE TO FILLING THE APPLICATION FORM**

The application form must be duly completed, signed and dated. Each section of the form is applicable to all unless otherwise stated. All documents should be properly paged for ease of referencing and processing.

# PART ONE - Corporate Structure and Services

Q. 5 - All addresses and telephone numbers must be correct and functional at the time of submission. Any change should be promptly communicated to the Petroleum Commission.

Q. 6 - Indicate any change in ownership structure and attach evidence of transfer of shares.

Q. 7a. - Please list all persons who own shares in the company. Provide the nationalities of shareholders, their addresses and the percentage of shares they hold in the company.

Q. 7b. - Beneficial Ownership- Where the shareholders in 7a are companies, please provide a breakdown of the various companies and the individuals who own shares in the listed companies.

Q. 8 - Names, nationalities and traceable location addresses of Executive Directors and Senior Management Team must be indicated. Please do not indicate Non- Executive Directors or Board Members who are not Executive Directors.

Q. 10 - Services which are selected from the Commission's Classification Of Upstream Petroleum Industry Companies list should be in line with the company's registered nature of business. The services selected will be printed on the company's Permit.

Companies which require additional services will have to apply for another Permit in order to carry out the services.

Companies with Permits to provide particular service(s) cannot have the services changed until the expiry and renewal of their Permit.

#### PART TWO - Financial Capability and Technical Competency of Applicant

A. A licensed auditing firm in good standing must audit applicant's financial statements. If company has carried out contracts in both the upstream petroleum sector and other sectors, please attach letter from Auditors indicating upstream petroleum sector revenue for the year under audit.

#### **PART THREE - Plans and Programmes**

This section should report on work carried out in the past year and amounts spent in relation to the following:

a. Organizational Development Program

The company's strategy for organizational development/growth. The company's employment plan, indicating number of people employed.

b. Technology Transfer

The acquisition of skills and how technical knowledge, technologies, manufacturing methods and facilities are handed over to workers, particularly locals for future use.

c. Training Programme

Activities for knowledge acquisition and improving capacity, performance and competence of staff.

d. Social Development Programme

CSR/Social development programs.

#### PART FOUR - Health, Safety and Environment

This section assesses compliance with Health Safety and Environment regulations. Copies of valid certificates, licenses and Permits from other regulatory bodies/agencies should be attached.

#### PART FIVE - Local Content

This section assesses the extent of local content and local participation in the company's activities.

#### PART SIX – Miscellaneous

Any other relevant information which applicant wishes to offer or further proposal which applicant seeks to make in relation to this application.

#### ASSESSMENT AND EVALUATION OF APPLICATION

The Commission would screen the application and where necessary, may carry out site/facility inspections. All operations/operators offshore must indicate possession of offshore safety Permits. Successful applicants will be issued with an invoice and required to pay the appropriate fees.

Please note that companies whose applications include false or forged documents will be disqualified, penalized and/or prosecuted.

#### PERMIT FEE

The Petroleum Commission (Fees and Charges) Regulations, 2015 (L.I. 2221) is attached. Kindly note that the Permit fee must be paid by banker's draft, payable in the name of the Petroleum Commission, Ghana.

#### **ISSUANCE OF PERMIT**

- 1. Permits will only be issued by the Commission after the applicant has satisfactorily met all the requirements and paid the appropriate fee.
- 2. Permits would be ready for collection five (5) working days after the appropriate fees have been paid.
- 3. Permits can be picked up by an authorized representative with a valid company or national ID (driver's license, voter's ID, passport, etc).
- 4. Expiration date of the Permit shall be at the anniversary of issuance.